

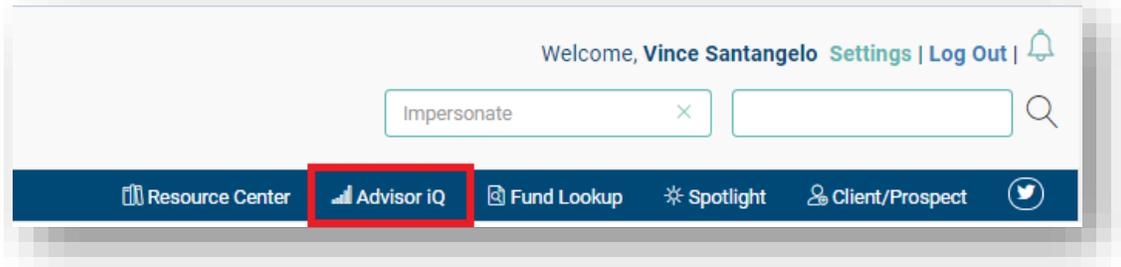


Assets Under Advisement Reporting Data

Partnering with your trusted Asset Manager and Recordkeeping wholesalers is an essential method of focusing your sales and service activities. Wholesalers can assist you with value add resources, thought leadership or marketing efforts. However, wholesalers may not be able to track your mutual AUA or number of plans due to various factors. In the event that you are partnering with a wholesaler and would like to view a list of your mutual clients and/or assets, RPAG's business intelligence dashboard called **Advisor iQ**, can help you quickly export the data.

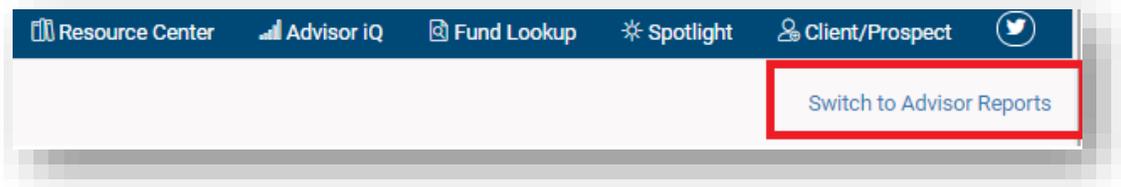
Step 1

Start by clicking **Advisor iQ** on the home page of the **RPAG Advisor Portal**. Where you can get a visual overview



Step 2

Once in **Advisor iQ**, toggle to the **Advisor Reports** in the upper right hand corner.



Step 3

In Advisor Reports, select the **Assets Under Advisement (AUA)** tab, select the Fund Name or Ticker sub report, and check Clients in the List Type.

The screenshot shows the 'Advisor Reports' interface. At the top, there are four tabs: 'Report Usage', 'Assets Under Advisement (AUA)', 'Clients or Prospects', 'Fund Reporting', and 'Fund & Provider Changes Report'. The 'Assets Under Advisement (AUA)' tab is selected and highlighted with a red box. Below the tabs, there is a 'Sub Report' dropdown menu with 'Fund Name or Ticker' selected, also highlighted with a red box. To the right of the dropdown is a checkbox labeled 'Show Individual Client Totals'. Below this, there are two sections: 'Filter Type' with radio buttons for 'Contains' (selected) and 'Equals', and 'List Type' with checkboxes for 'Clients' (checked) and 'Prospects'. A 'Filter Text' input box is located to the right of the 'Filter Type' section. The 'Clients' checkbox in the 'List Type' section is highlighted with a red box.

Step 4

Enter the name of the asset manager in the filter text box. Click apply and then you can download report to Excel or PDF by clicking on the appropriate icon.

The screenshot shows a close-up of the 'Filter Text' input box. The box is empty and has a search icon on the right side. Below the input box, there is a red horizontal line. In the bottom right corner, there is a green 'Apply' button with a white border, highlighted with a red box. Below the 'Apply' button, there are two small icons: a document icon and a download icon.

Step 5

Lastly, you can then decide to share this information with your trusted partner, or use it for your own business intelligence and practice management purposes.

If you need any assistance utilizing Advisor iQ or any of our other business intelligence dashboards, please contact support@rpag.com or call 949-305-3859.